#### **Neuropsychiatric and Neuropsychological** Co-Morbidity in ASD

James Coplan, MD Neurodevelopmental Pediatrics of the Main Line Rosemont, PA info@drcoplan.com www.drcoplan.com (610) 520-2130



ww.drcoplan.com

#### **Outline / Basic Premises - 1**

- Biologically driven behaviors / traits
  - Cognitive Rigidity
  - Dysregulation of Attention
  - Dysregulation of Arousal
  - Dysregulation of Sleep
  - Dysregulation of Sensory Processing
- Occur irrespective of environmental contingencies
- Do not serve a social function
- Specific behaviors / traits are tied to specific neurotransmitters / brain systems

#### **Disclosures**

Dr. Coplan is author of Making Sense of Autistic Spectrum Disorders: Create the brightest future for your child with the best treatment options (Bantam-Dell, 2010), and receives royalties on its sale



· This presentation will include a discussion of off-label drug use

#### **Outline / Basic Premises - 2**

- Socially driven behaviors
  - Occur in response to environmental contingencies
  - Serve a social function
    - Attention
    - · Access to desired objects or activities
    - · Escape from undesired activities
  - A-B-C Model
    - · What is the Antecedent to the behavior?
    - · What is the Behavior itself?
    - . What are the Consequences for the behavior?

#### **Outline**

Neuropsychiatric and Neuropsychological Co-Morbidity; 1:00 – 2:30
• Cognitive Rigidity: Internalizing and externalizing behaviors

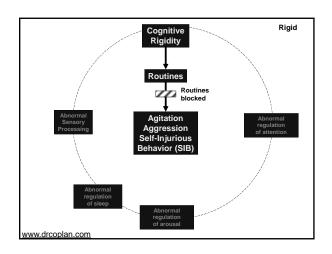
- Dysregulation of attention
- Dysregulation of arousal
- Dysregulation of mood
- Positive Behavior Support for internalizing behavior
- Psychopharmacology for the non-physician Behaviorism: Its utility and its limits

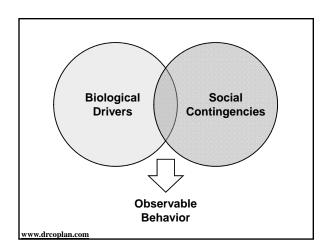
Break 2:30 - 2:45

#### **Outline / Basic Premises - 3**

- Behavior analysis needs to take biological and environmental factors into account:
  - Underlying biological traits often provide the child with lots of opportunities to make unfortunate discoveries (viz: Tantrums or SIB are great ways to get attention or escape from tasks)
- Intervention often requires both pharmacologic and behavioral measures







"Our son experiences extreme anxiety when what he anticipates isn't what happens...When we know a change is coming we can prepare him, but those we can't anticipate are still very upsetting for him...The switch flips in his mind, and it's out of his control."

6 y.o. boy with ASD, anxiety, and normal nonverbal IQ

MRN 12-0782

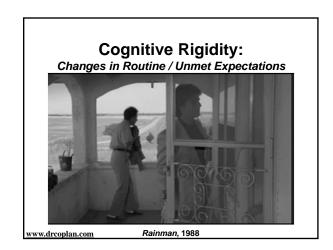
Neuropsychological Deficits in Children with ASD

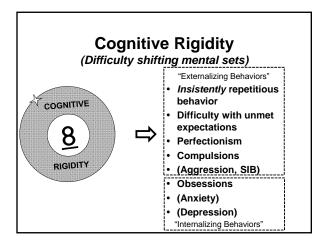
Cognitive Rigidity

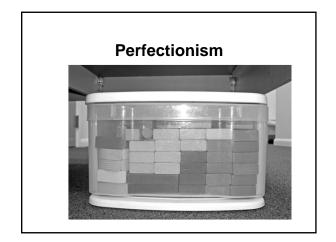
Abnormal Sensory Processing

Abnormal regulation of attention

Abnormal regulation of arousal

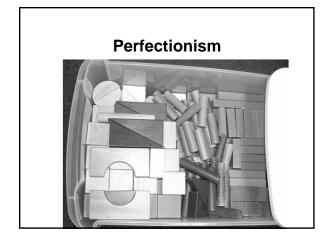


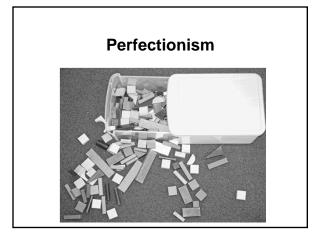


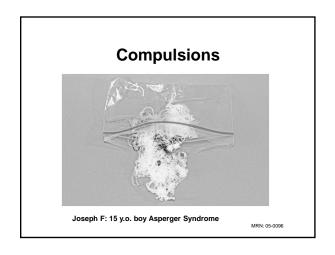


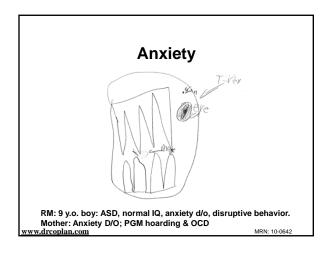
#### **IDEA**

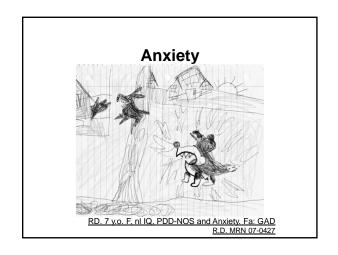
- IDEA requires the IEP to address "behavior that impedes child's learning or that of others" (IDEA Section 614(d)(2)(B)
- Unfortunately, as implemented, this section of IDEA is usually applied only to externalizing behaviors

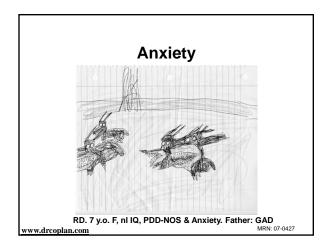


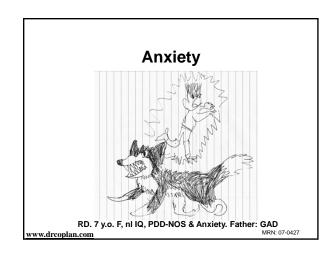


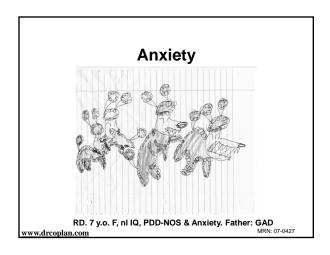


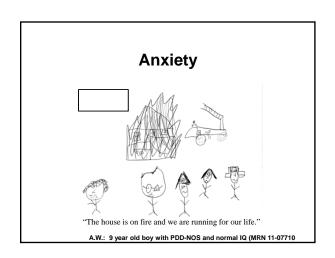


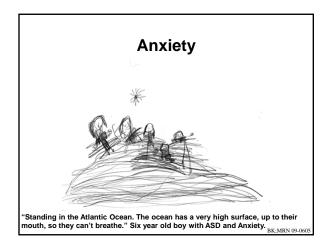


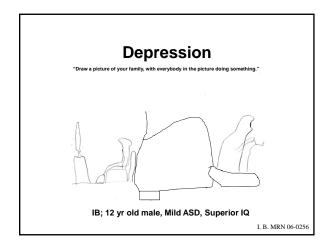


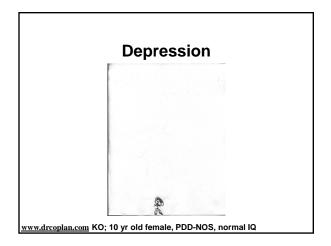


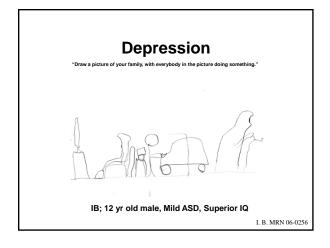


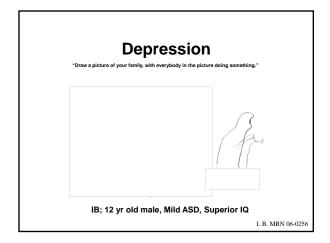


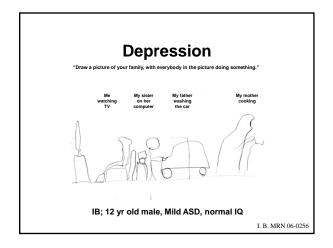


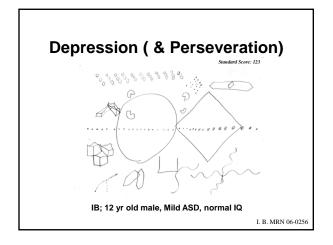


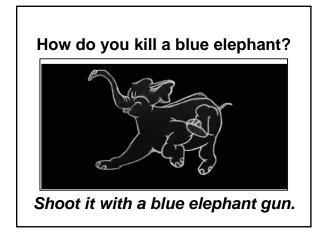


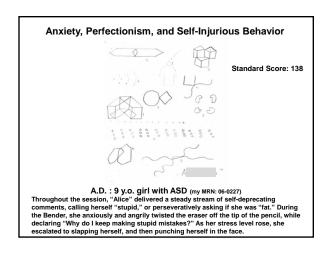


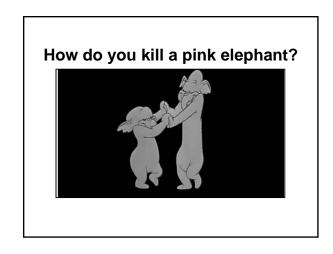


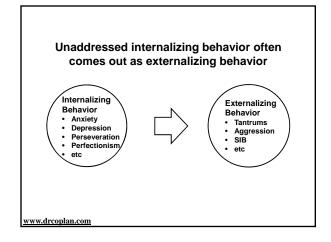


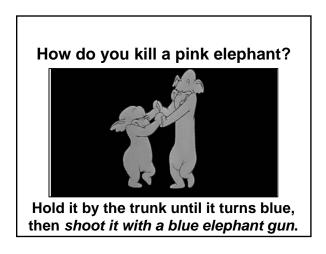








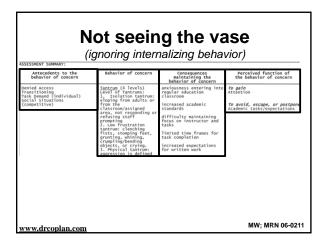


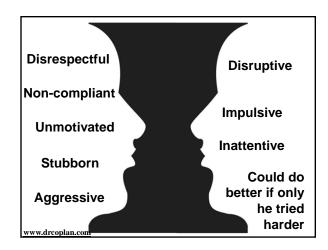


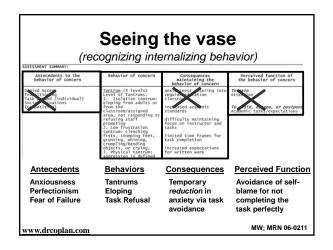
# Positive Behavior Support Plan for Internalizing Behavior

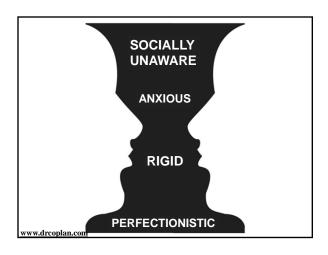
- Staff Awareness
- Visual Schedules
  - What am I supposed to be doing do now?
  - What am I supposed to do next?
- Relaxation Techniques
  - Mental Imagery
  - Isometrics
  - Deep Breathing
  - "Break" cards
- Cognitive Behavioral Therapy (CBT)
- SSRIs

www.drcoplan.com









# Not seeing the vase

(ignoring internalizing behavior)

"We caution against the use of the word "stubborn" to characterize Ryan's classroom behavior. Ryan's task avoidance and non-adherence to teacher instruction reflect *cognitive rigidity and anxiety, rather than "stubborn" behavior*. Re-framing his actions will lead to more appropriate intervention, placing the focus on *anxiety management and cognitive flexibility*, rather than "*compliance*."

RH; MRN: 11-0717; 7 y.o. male; www.drcoplan.com Anxiety D/O & Mild Atypicality

### Not seeing the vase

(ignoring internalizing behavior)

Ryan continues to wrestle with the impact of anxiety, cognitive rigidity, and probable depression. His episodic task avoidance at school probably serves the function of anxiety reduction (by avoiding tasks that he perceives as too difficult). His need for constant reassurance and his self-deprecating comments are additional evidence of the burden of his anxiety. Likewise, his episodic outbursts can be traced to his cognitive rigidity, and reflect his perception that "rules have been broken" (as when he attacked another child for misstating the facts)...

ww.drcoplan.com

RH; MRN: 11-0717; 8 y.o. male; Anxiety D/O & Mild Atypicality

### The Story of Billy's Box - 2

(or, why it's important to ID internalizing behavior)

Q: "Billy – You're always getting in trouble at school. What's going on?"

A: "I'm afraid that if I hand in my work, I'll never get a chance to go back and make it perfect."

### Not seeing the vase

(ignoring internalizing behavior)

..Ryan's FBA of 10/11/2013, Section II, "Physiological and Medical Factors" Question 1 "Could the behavior be the result of medical or psychiatric condition or any form of physical discomfort?" is marked "NO" by the behavior analyst who completed the form. This is incorrect. Anxiety Disorder is a "psychiatric condition," and underpins many of Ryan's maladaptive behaviors in the classroom. For children who are anxious and self-critical (as Ryan is), task avoidance serves the function of anxiety reduction. The focus of behavioral intervention needs to be on cognitive flexibility and anxiety reduction, rather than "compliance."

ww.drcoplan.com

RH; MRN: 11-0717; 8 y.o. male; Anxiety D/O & Mild Atypicality

### The Story of Billy's Box - 3

(or, why it's important to ID internalizing behavior)



"Put your papers in the box, and we promise you will be able to go back later and work on them some more, if you want to."

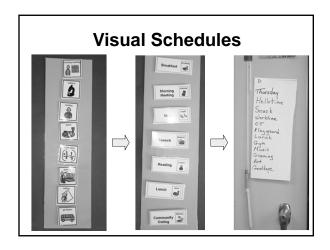
# The Story of Billy's Box - 1

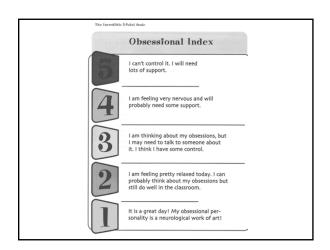
(or, why it's important to ID internalizing behavior)

- 8 y.o. boy with ASD and normal Nonverbal IQ
- Severe tantrums at school
- · Antecedents:
  - TRANSITIONS
- Function?
  - Not attention, escape, access
  - "Biological" (i.e. "just part of his ASD")?

## **Positive Behavior Support Plan** for Internalizing Behavior

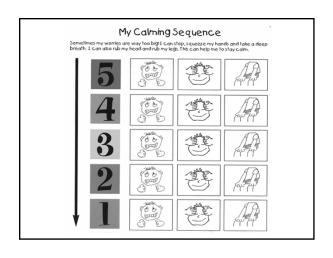
- Staff Awareness
- Visual Schedules
  - What am I supposed to be doing do now?
  - What am I supposed to do next?
- Relaxation Techniques
  - Mental Imagery
  - Isometrics
  - Deep Breathing
  - "Break" cards
- Cognitive Behavioral Therapy (CBT)
- SSRIs

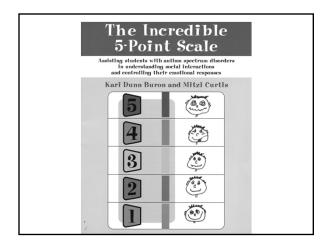


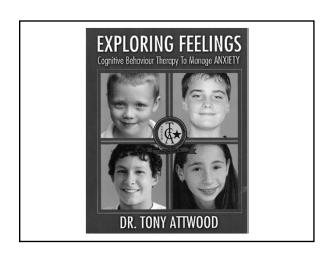


# Positive Behavior Support Plan for Internalizing Behavior

- Staff Awareness
- Visual Schedules
  - What am I supposed to be doing do now?
  - What am I supposed to do next?
- Relaxation Techniques
  - Mental Imagery
  - Isometrics
  - Deep Breathing
  - "Break" cards
- Cognitive Behavioral Therapy (CBT)
- SSRIs



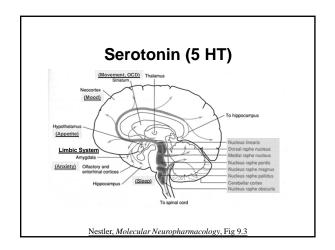




# Positive Behavior Support Plan for Internalizing Behavior

- Staff Awareness
- Visual Schedules
  - What am I supposed to be doing do now?
  - What am I supposed to do next?
- Relaxation Techniques
  - Mental Imagery
  - Isometrics
  - Deep Breathing
  - "Break" cards
- Cognitive Behavioral Therapy (CBT)
- SSRIs

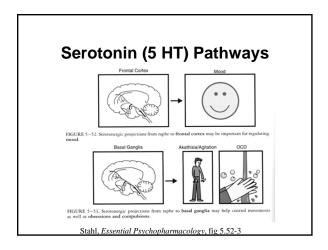
www.drcoplan.com

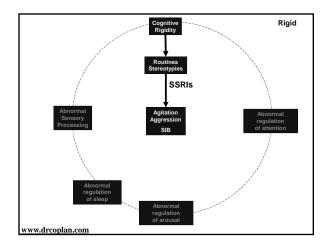


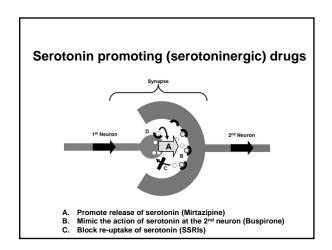
# Selective Serotonin Reuptake Inhibitors (SSRIs)

- Primary targets
  - Cognitive Rigidity
    - Anxiety
    - Obsessions (thoughts)
    - Compulsions (behavior)
    - Perfectionism
  - Depression
  - Stereotypies: Probably not
- "Downstream" benefit:

  - ♠ Quality of Life







#### SSRIs in ASDs

- Side Effects
  - Activation
    - Hyperactivity
    - Irritability
    - Insomnia
    - Agitation
  - Uncommon or irrelevant
    - · GI dysfunciton
    - · Sexual dysfunction
    - "Black Box" warning (suicidal mentation)

ww.drcoplan.com

#### Selective serotonin reuptake inhibitors (SSRIs) for autism spectrum disorder (ASD).

Williams, K., et al., Cochrane Database Syst Rev, 2010. 8: p. CD004677

#### Authors' conclusion:

"There is no evidence that SSRIs are effective as a treatment for children with autism. In fact, there is emerging evidence that they are not effective and can cause harm. As such SSRIs cannot be recommended as a treatment for children with autism at this time.

## Selective Serotonin Reuptake Inhibitors (SSRIs)

Generic Name	Brand Name	Comment
Fluoxetine	Prozac	The first selective SRI
Fluvoxamine	Luvox	
Sertraline	Zoloft	May be less activating
Citalopram	Celexa	Prolonged QT interval
Escitalopram	Lexapro	Prolonged QT interval
And others		

ww.drcoplan.com

#### Pharmacotherapy for anxiety disorders in children and adolescents

Ipser JC, Stein DJ, Hawkridge S, Hoppe L. Cochrane Database of Systematic Reviews 2009, Issue 3.

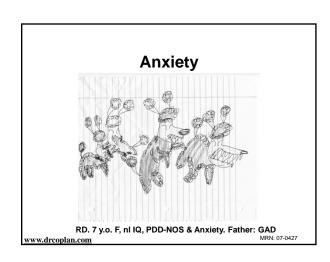
- Studies reviewed: 22 RCTs/ 2,519 participants
  - o Short-term (average 11 wks)

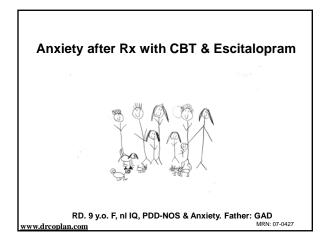
  - Mean age 12 yrs
    Drugs studied (versus placebo)
    SSRIs: 15 (fluoxetine 6, fluoxamine 2, paroxetine 3, sertraline 4)
    SNRIs: 5, (clomipramine 3), ventafaxine 2)
    Benzodiazepines: 2: (alprazolam 1, clonazepam 1)
    Tricyclic antidepressants: 1 (desipramine)
- Meta-analysis
  - o Response rate: Medication 59%; Placebo 31%
- o 7.3% of subjects treated with SSRIs withdrew bec/o side effects
- "The overwhelming majority of evidence of efficacy was for the SSRIs, with the most evidence in paediatric OCD"

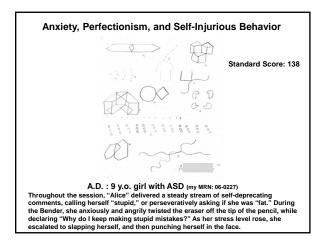
## Selective serotonin reuptake inhibitors (SSRIs) for autism spectrum disorder (ASD). Williams, K., et al., Cochrane Database Syst Rev, 2010. 8: p. CD004677

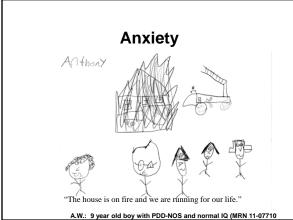
- Studies reviewed: 7 randomized controlled trials / 271 participants Fluoxetine (2), fluvoxamine (2), fenfluramine (2), citalopram (1)
  - Subjects: Children (5); Adults (2) Varying inclusion criteria for Dx of ASD and IQ

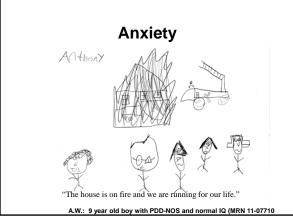
  - 17 different outcome measures
- . "Data were unsuitable for meta-analysis"

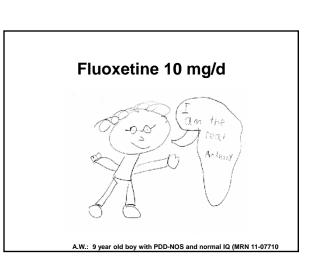












#### After one week on Sertraline

Sent: Thursday, May 31, 2012 To: James Coplan Subject: amazing shift in A.D. Importance: High

#### Dr. Coplan,

I "know" that it takes several weeks for SSRI's to "kick in" but the child I saw in my office today is simply a different child and the improvements are being noted across settings by mutiple adults. There was NO self abuse, NO negative self statements, an availability for interventions, just a complete transformation. We "fixed" mistakes, "redid" errors, told jokes, and played together. The "core" Autistic symptoms are obviously still there - perseveration on bras, drawing, of the but mend wice those in new content but he is legally benefitting. etc - but mood-wise there is no question that A. is already benefitting from the Sertraline... Impossible perhaps but really visibly clear... Thank you very much.

### **Cognitive Rigidity**

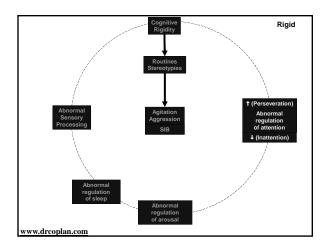
"I haven't been 'fired' or told that I was 'the worst mom ever' in a month! ... Our son has been more adaptable. He has not had a meltdown in a month. (He has come close - but we managed or problem-solved, to come back from the cliff.)"

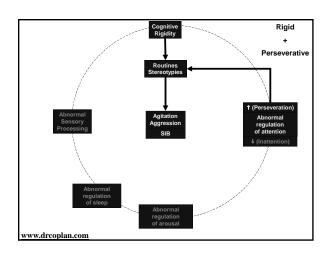
> Mother of an 8 y.o. with ASD and normal IQ, 4 wk after starting SSRI

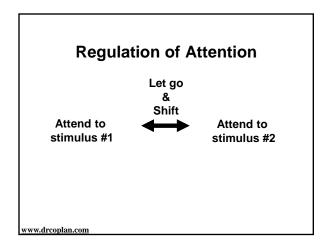


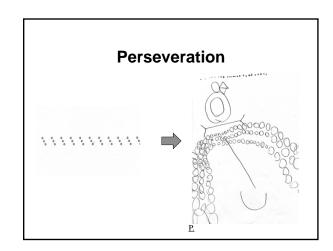
# **Abnormal Regulation of Attention - 1**

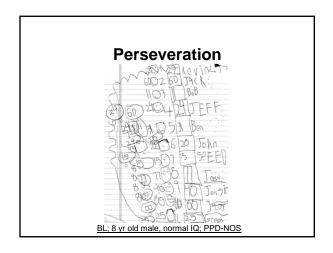
- Perseveration
  - Inability to "Let go and shift"
  - Gets "stuck"
  - "Overattention Deficit Disorder"
- Compounds the effects of cognitive rigidity

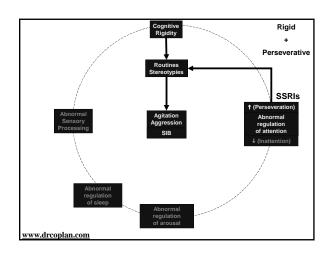




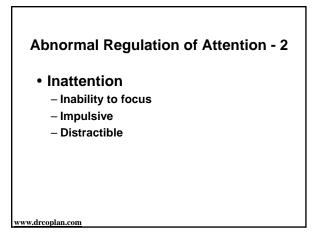




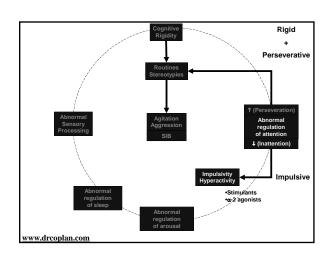




# Perseveration "Draw a picture of your family, with everybody doing something" "We are going into the Grand Hyatt" Wm W; 10 yo. male; ASD & Anxiety, MRN 12-0827

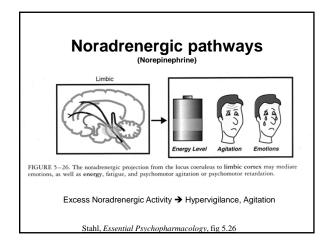


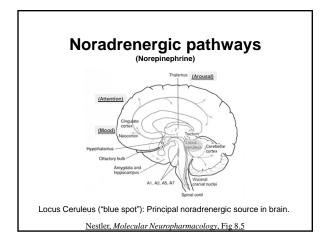
# Abnormal Regulation of Attention (Perseveration) Interventions Verbal preparation for transitions Visual Schedules SSRIs (OCD: Proven; ASD: likely)

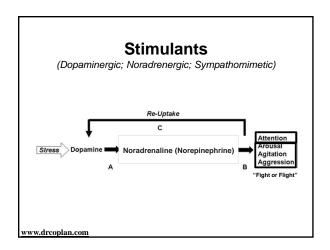


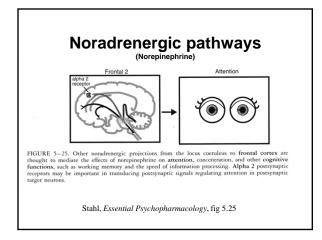
#### Inattention

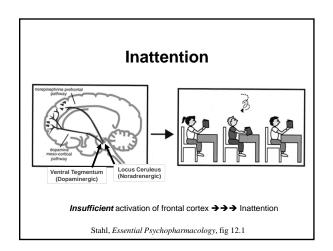
- Interventions
  - Limited stimuli
  - Short work periods
  - Medication
    - Stimulants (may ↑ anxiety / rigidity / agitation)
    - · alpha-2 agonists

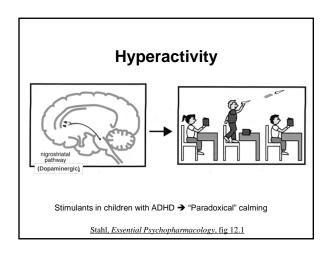


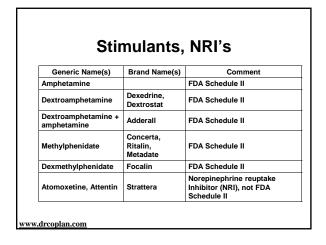


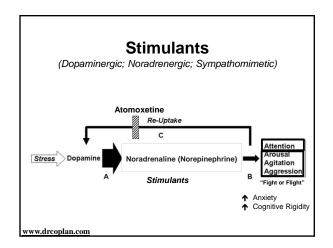


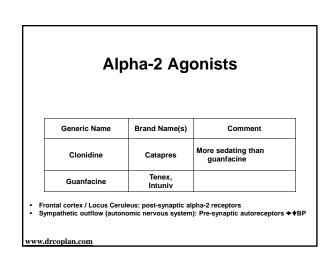


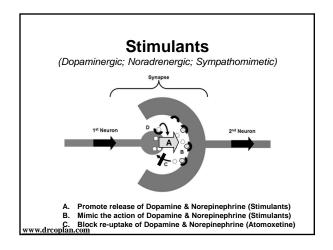


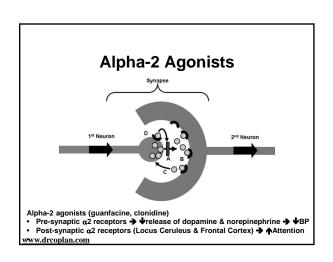


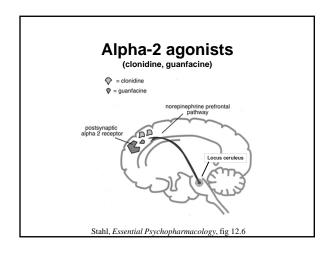












#### **Clinical Pearl**

- Beware of Cognitive Rigidity masquerading as ADHD
  - Perseveration on inner stimuli: "Inattentive"
  - Perfectionism:
    - "Problems w. task completion"
    - (Or: Task avoidance!)
  - Anxiety:
    - "Rushes through work"
    - "Out of seat behavior"

ww.drcoplan.com

## **Alpha-2 Agonists**

#### Benefits

- ♠ Attention Span
- No exacerbation of anxiety / rigidity

#### Side Effects

- · Sleepiness: Common
- Emotional Lability (crying) - occasional
- · Hypotension (low BP) -

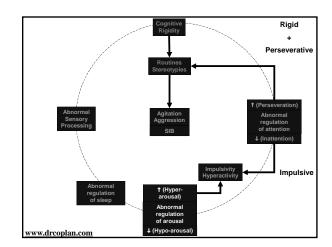


## **Alpha-2 Agonists**

"It's buying him the split second before he reacts."

Parents of a child with ASD, agitation, anxiety, and cognitive rigidity after starting guanfacine.

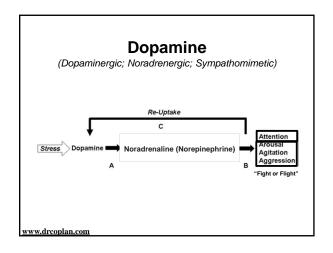
(ML; MRN 13-0839)

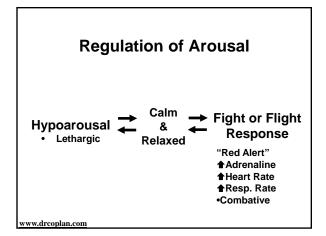


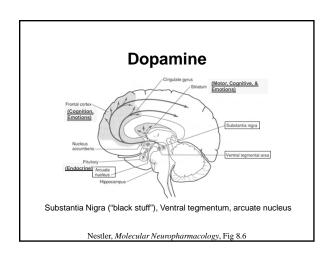
"He is so hard to calm down when he gets upset....His emotional thermostat doesn't work"

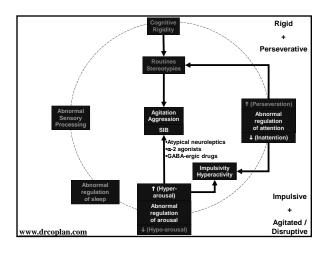
Parent of an 8 year old with ASD

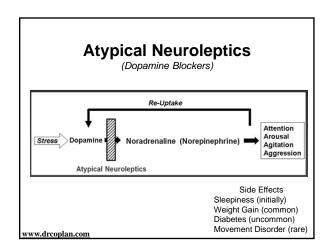
F. O. MRN 06-0208

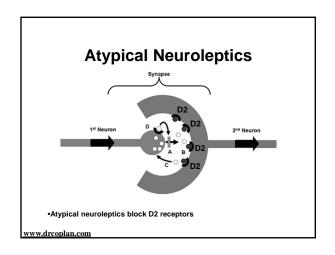


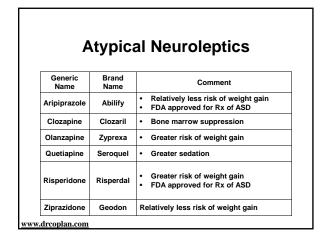


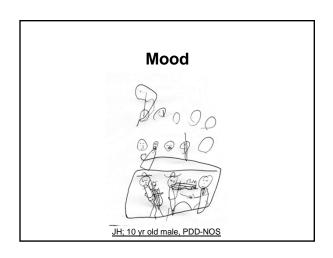




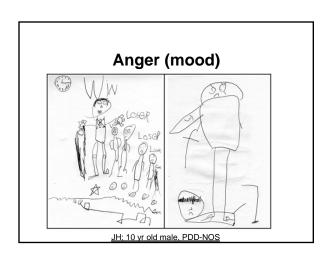


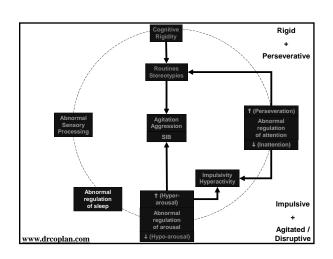








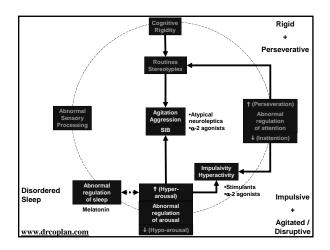




# Regulation of Sleep - 1

- Melatonin
  - Brain hormone
  - ★ Metabolic rate (Heart, Temp)
  - "You're sleepy now"
- Suppressed by light
  - 24 hr cycle
  - Seasonal cycle

www.drcoplan.com



## Regulation of Sleep - 2

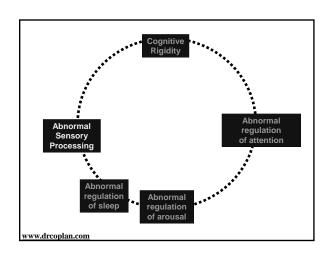
- Abnormal melatonin cycling
  - Primary disorders of sleep
  - Blindness
  - ASD
- Symptoms
  - Delayed onset of sleep
  - Shortened duration / frequent wakening

www.drcoplan.com

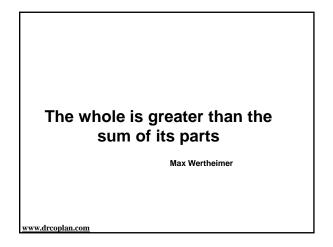


# Regulation of Sleep - 3

- Shared genetic control
  - Regulation of sleep
  - Regulation of arousal
- Family history of sleep disorder



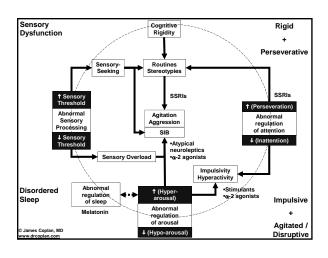


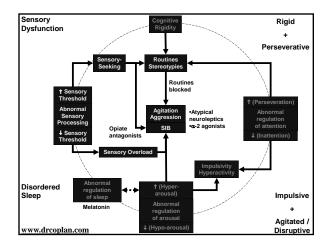


# **Sensory Processing**

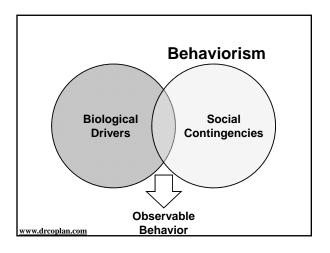
- Subjective Properties
  - Familiar / Unfamiliar
  - Pleasant / Unpleasant
  - Strong / Weak
  - Internal / External
- Sensory Input → Self-awareness
- Mirror Neurons → Empathy

Mostofsky, S. and J. Ewen, Altered Connectivity and Action Model Formation in Autism Is Autism. Neuroscientist, 4/15/2011





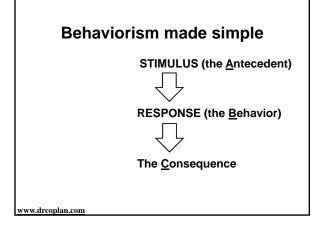




#### Law of Effect

Animal Intelligence. EdwardThorndike, 1911

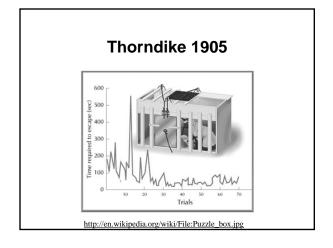
"Of several [possible] responses...to the same situation, those which are...closely followed by satisfaction to the animal will...be more likely to recur. Those which are...followed by discomfort to the animal will...be less likely to occur. The greater the satisfaction or discomfort, the great the strengthening or weakening of the bond"

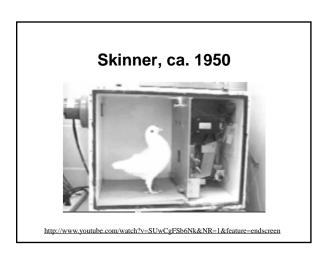


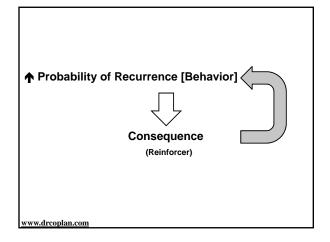
# **Operant Conditioning**

Skinner

 Experimental manipulation of the consequences for a given behavior (by the subject) alters probability that that behavior will recur.

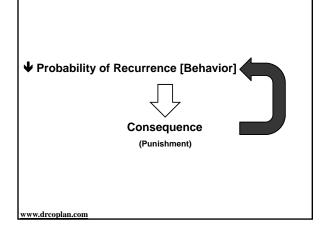






# The ABC's of Behavior Analysis

- What is the Antecedent?
- What is the Behavior?
- What is the Consequence?



# Disruptive Behavior: Function & Best Response

- Attention
  - 1-2-3 **⇒** "Time Out" (T.O.)
- Access
  - Never grant access to desired object in response to disruptive behavior
- Escape
  - Never permit the child to escape from a task via disruptive behavior.
    - Walk child through task first, then ⇒ T.O.
    - OR: Send child to T.O., and as soon as T.O. is complete, resume the task where you left off.

www.drcoplan.com

#### J.B. Watson

Psychology as the behaviorist sees it. (1913)

- "The behavior of animals can be investigated without appeal to consciousness."
- Limit psychology to the study of outwardly visible behavior.

www.drcoplan.com

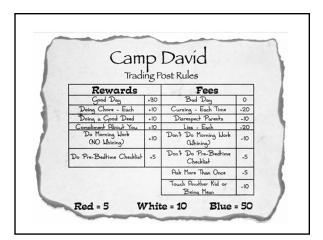
# Behaviorism's Blind Spot • "Internalizing Behavior" is an oxymoron to a behaviorist.

# Token Economy: The next step beyond Time Out

- · Concretely specified behaviors
- Earn and Lose Points
- Points
   → Access to preferred items
  - Preferred toys, Computer time, etc.
  - NO access to preferred item at other times
  - "Extra" treats not as effective
- Works with children who understand rule-based play (CandyLand, Uno, etc.)

www.drcoplan.com

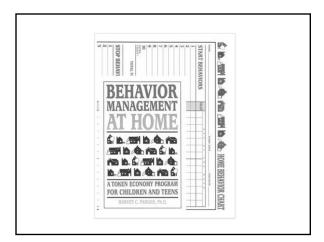




## **Summary**

- · Why this child?
  - What is this child's developmental Level?
    - Is this stage-appropriate behavior?
  - Does the behavior serve a social function?
    - Escape, access, attention
  - Is the classroom placement appropriate?
    - Language level?
  - Does this behavior occur in other settings?
    - Family factors?
      - Parents consistent at home?
      - Parental psychopathology? (Anxiety, Depression, Alcohol)

www.drcoplan.com



## Summary

- · Why this child?
  - Neuropsychological factors?
    - Cognitive Rigidity
    - Dysregulation of attention
  - Dysregulation of arousal
  - Sensory Seeking / Sensory Overload
- Behavioral Intervention Usually
   FBA's usually disregard internalizing behavior
- Change in classroom setting sometimes
- Shift from rote to inferential learning (2<sup>nd</sup> 3<sup>rd</sup> grade): challenge
- · Medication: Often
- Family mental health intervention: Often

# An ounce of prevention....

- Identify internalizing behaviors before they lead to externalizing behaviors
  - Behavior Management Plan that proactively seeks to avert or dissipate anxiety

More on IDEA and FBAs here: http://www.wrightslaw.com/info/discipl.index.htm http://www.pent.ca.gov/lgl/addressingbehaviorIDEA.pdf

